APPENDIX E-249 V

SHIPMENT STATUS TRANSACTION - RESPONSE TO
MRO/DRO CANCELLATION VIOLATIONS

1. INPUT DOCUMENTS

   Shipment Status Transaction - Response to MRO/DRO Cancellation, DICs
AU0, AUA, and AUB, appendix B-249.

2. INPUT TO PROCEDURE DECISION CHART

   Appendix D-267.

3. PURPOSE CONTENTS AND RESPONSIBILITIES

   a. This appendix is applicable to chapter 4 and provides instructions
for the correction and reentry of Shipment Status Transactions -
Response to MRO/DRO Cancellation, DICs AU0, AUA, and AUB, which have
been rejected from computer processing. Reasons for computer rejection,
by Violation Reason Code, are listed below. The Requisition Processing
Branch, Stock Control Division of the Directorate of Supply Operations
is responsible for processing these violations.

   b. An Active Requisition Control and Status File Printout, appendix
F-114, with the constant ORC 96 will also be prepared, when applicable,
and routed to the Requisition Processing Branch for use in violation
processing.

   c. Shipment Status Transactions - Response to MRO/DRO Cancellations
applica-ble to GFM issues will be processed against the GFM Control File
as outlined in appendix D-109. Violations will be corrected as outlined
in appendix E-461 V.

VIOLATION REASON CODES	DEFINITION
AN	Unit of Issue Invalid or blank.
AS	Quantity field invalid or blank.
OV	Unmatched Document Number/Suffix Code in ARCSF.
WJ	Mode and TCN Invalid, blank or different than that previously furnished.
WQ	Proposed Action Already Reflected in File.
YD	Depot indicates unable to cancel Shipment in HOLD status.

```
VIOLATION
REASON CODES     DEFINITION

YF               Depot indicates unable to cancel - Quantity different
                 from quantity confirmed.

ZH               Date Shipped and TCN different than previously
                 furnished.

ZK               POE and TCN different than previously furnished.
```

4. PROCESSING/RESEARCH INSTRUCTIONS

   a. VIOLATION REASON CODE AN.

      (1) REASON FOR VIOLATION/ERROR CONDITION

      Violation Reason Code AN indicates that the Unit of Issue field of
the input Shipment Status Transaction does not match the Unit of Issue
or the Reference Unit of Issue recorded in the NIR; or if converted
based on the recorded UI conversion factor will convert to a quantity
greater than 99,999.

      (2) PROCESSING/RESEARCH REQUIRED

      (a) When only one position of the UI is blank or numeric, check
for apparent errors and annotate the correct UI in pos. 23-24.

      (b) If both positions of the UI are blank, numeric, or alpha
filled research supporting document files, ARCSF Printout, appendix
F-114, to compare the UI fields. If the UI on the support document is
different from that on the violation, enter the correct UI in pos. 23-24
and process the document for reentry in accordance with paragraph 5
below.

      (c) If the entries in pos. 23-24 of the support document are the
same as the unit of issue on the violation, research the catalog or
other management records (National Inventory Record Printout, appendix
F-109) to determine the current UI on the NIR. Annotate the correct UI
in pos. 23-24. If the UI is quantitative, convert the quantity
requested to the nearest multiple of the UI. Enter the converted
quantity in pos. 25-29 and process the document for reentry in
accordance with paragraph 5 below.

   b. VIOLATION REASON CODE AS.

      (1) REASON FOR VIOLATION/ERROR CONDITION

      Violation Reason Code AS indicates that the quantity field of the
input Shipment Status Transaction - Response to MRO/DRO Cancellation
contains all zeros, contains blanks, contains alpha/special characters,
or contains a quantity greater than the quantity remaining open (e.g.,
unconfirmed) on the MRO control record.

(2) PROCESSING/RESEARCH REQUIRED

(a) Compare the quantity in the violation with the quantity reflected on the ARCSF Printout, appendix F-114.

(b) If the field contains an apparent error; e.g., alpha O for numeric zero, embedded blanks, alpha characters, annotate the correct quantity on the violation for reentry.

(c) When the quantity cannot be determined by the above method, contact the shipping activity reflected on the ARCSF Printout.

(d) Upon receipt of correct quantity, annotate the appropriate entries on the violation for reentry.

(e) When the input quantity does not match the quantity remaining open on the MRO control record in the ARCSF Printout, processing personnel will determine whether the input Shipment Status Transaction, DIC AU0, AUA, or AUB or the recorded shipment data is in error.

1. In those instances where the input (violation output) contains an error in the quantity field, annotate the correct quantity on the violation output for reentry.

2. In those instances where the recorded data is invalid and the rejected input is correct, prepare and process a corrected Materiel Release Order Transaction, DIC ZHR, appendix B-121, as outlined in appendix E-121 P. Prepare the violation output for reentry without change.

c. VIOLATION REASON CODE OV.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code OV indicates that the input document is unmatched in the Active Requisition Control and Status File (ARCSF) on Document Number and/or Suffix Code.

(2) PROCESSING/RESEARCH REQUIRED

(a) The Requisition Processing Branch (ORC 96) will review the document in violation and the accompanying Active Requisition Control/ Status File, appendix F-114, to determine the reason for the reject.

1. If the violation resulted from an obvious error in the suffix code, annotate the correct suffix code and reenter.

2. If the document number contains obvious blanks or invalid characters, annotate corrections if feasible and reenter.

3. If local correction is not possible or practicable, contact the applicable shipping activity and request clarification. Annotate corrective data and reenter.

4. If correct document number cannot be determined reenter to delete the document from violation.

(b) If the document number is valid but unmatched to the ARCSF, obtain and review a Requisition History File, appendix F-45, to determine if the violation was output as a result of a closed record.

(c) Before initiating corrective action determine whether or not the requisitioner has submitted a discrepancy report as outlined in appendix E-399 P.

1. If all actions related to a discrepancy report are completed, delete the document from violation.

2. If a discrepancy report is in process, coordinate corrective action with appropriate processing personnel.

(d) When no discrepancy report is found, initiate corrective action as deemed appropriate based upon the following:

1. When it is determined that the violation output is the result of a closed record (indication closing action was other than a shipment from stock) the transaction will be analyzed to determine whether a duplicate shipment was made and whether or not additional billing action is to be initiated.

2. When it is determined that the ARCSF supply section was closed by other than a shipment (i.e., passed or canceled) processing personnel will contact the depot submitting the confirmation and assure that shipment was actually made from stock prior to reopening the ARCSF record, using Manager Forced Action Code R, appendix A-86, and subsequent posting of the rejected confirmation action.

3. When it is determined that the ARCSF supply section was closed by shipment from direct delivery procurement, processing personnel will contact both the Directorate of Contracting and Production and the depot submitting the confirmation to assure that duplicate shipments were actually made, the customer will be contacted and requested to return one of these duplicate shipments. There is no way within SAMMS to record or perform manual offline Billing for the duplicated shipment.

NOTE: Judgement must be exercised in the requesting of return of materiel to assure that the value of materiel involved at least offsets the cost of research/transportation. Requests for return of material from a customer must include the Fund Code to be cited on the GBL for transportation.

   d. VIOLATION REASON CODE WJ.

    (1) REASON FOR VIOLATION/ERROR CONDITION

      Violation Reason Code WJ indicates that the Shipment Status Transaction - Response to MRO/DRO cancellation (indicating shipment could not be canceled) does not contain a valid Mode of Shipment Code, pos. 77, or TCN data, pos. 60-76 or a valid HOLD Code, pos. 51. This Violation Reason Code may also indicate that the input Mode of Shipment Code, pos. 77, and the input TCN data, pos. 60-76, is different than the counterpart data elements recorded for the MRO in the ARCSF.

(2) PROCESSING/RESEARCH REQUIRED

(a) Review the ARCSF Printout, appendix F-114, furnished with the violation output to determine the recorded status of the MRO involved.

(b) When the MRO is open (e.g., unconfirmed and not in a Hold (see appendix A-21 for valid HOLD Codes)) contact the shipping activity to determine the correct Mode of Shipment (see appendix A-17 for valid Mode of Shipment Codes) and TCN data. On receipt of shipping activity reply annotate the violation output with correct data for reentry.

(c) When the MRO is in a HOLD Status (see appendix A-21 for valid HOLD Codes) contact the shipping activity to determine whether or not shipment has gone forward.

<u>1</u>. In those instances where shipment has left the depot and does not meet the established criteria for tracing, ascertain the correct Mode of Shipment Code (see appendix A-17) and TCN data from the shipping activity and annotate the violation output with corrected data, including a blank in pos. 51 (HOLD Code field) for reentry.

<u>2</u>. In those instances where shipment has left the depot and does meet the established criteria for tracing, request the shipping activity to reprocess the original MRO Cancellation Request, DIC AC6, and furnish a correct Shipment Status Transaction, DIC AU0, AUA, or AUB or as necessary a valid Supply Status Transaction, DIC AG6, and prepare the violation for reentry to delete the violation control.

<u>3</u>. In those instances where the shipment has not left the depot, e.g., still in a HOLD Status, request the shipping depot to reprocess the original MRO Cancellation Request, DIC AC6, and furnish a valid Supply Status Transaction, DIC AG6, with BQ Status; and prepare the violation for reentry to delete the violation control.

(d) When the input Mode of Shipment Code, pos. 77, and TCN data, pos. 60-76, is not the same as the recorded Mode/TCN data, contact the shipping activity to obtain the correct Mode of Shipment and TCN data.

<u>1</u>. In those instances where the recorded data is correct, annotate the violation output with the correct data for reentry.

<u>2</u>. In those instances where the recorded data is invalid and the rejected input data is correct, prepare and process a Corrected Materiel Release Order Confirmation Transaction, DIC ZHR, appendix B-121, as outlined in appendix E-121 P. Prepare the violation output for reentry without change.

e. VIOLATION REASON CODE WQ.

(1) REASON FOR VIOLATION/ERROR CONDITION

This violation indicates that a Shipment Status Transaction - Response to MRO/DRO Cancellation (DIC AU0) has been received and it matches an <u>open</u> ARCS record on Document Number and Suffix, and Quantity, Date Shipped (if valid) and Transportation Control Number (TCN).

(2) PROCESSING/RESEARCH REQUIRED

(a) Determine from review of the RCS Printout, appendix F-114, whether the violation output represents a duplicate confirmation or a confirmation in addition to the previously posted confirmation.

(b) When the violation transaction represents a duplicate of a previously posted confirmation, the requisition processing personnel should direct deletion of this violated transaction.

(c) When the violation transaction represents a confirmed quantity in addition to the previously posted confirmation, the requisition processing personnel should direct continued processing. Process in accordance with the procedures outlined in paragraph 5.

   f. VIOLATION REASON CODE YD.

   (1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code YD indicates that the Shipment Status Transaction - Response to MRO/DRO Cancellation (indicating that shipment could not be canceled) does not contain TCN data in pos. 60-76 and does contain a valid HOLD Code in pos. 51. This is an error condition in that a shipping activity has reported that a shipment which has not yet left the depot (e.g., in HOLD Status) cannot be canceled.

   (2) PROCESSING/RESEARCH REQUIRED

(a) Review the ARCSF Printout, appendix F-114, furnished with the violation output to determine the recorded status of the MRO involved.

(b) When the MRO is open (e.g., unconfirmed) or in a HOLD Status (see appendix A-21 for valid HOLD Codes) contact the shipping activity to determine why the input Shipment Status Transaction, DIC AU0, AUA, or AUB contains a HOLD indicator and further indicates that cancellation could not be accomplished.

   1. In those instances where shipment has actually left the depot (e.g., input with HOLD Code is in error) and does not meet the established criteria for tracing, ascertain the correct Mode of Shipment Code (see appendix A-17) and TCN data from the shipping activity and annotate the violation output with corrected data, including a blank in pos. 51 (Hold Code field) for reentry.

   2. In those instances when shipment has actually left the depot (e.g., input with HOLD Code is in error) and does meet the established criteria for tracing, request the shipping activity to reprocess the original MRO Cancellation Request, DIC AC6, and furnish a correct Shipment Status Transaction, DIC AU0, AUA,and AUB or as necessary a valid Supply Status Transaction, DIC AG6; and prepare the violation entry to delete the violation control.

   3. In those instances where the shipment has not left the depot, e.g., still in a HOLD Status, request the shipping depot to reprocess the original MRO Cancellation Request, DIC AC6, and furnish a valid Supply Status Transaction, DIC AG6, with BQ Status and prepare the violation for reentry to delete the violation control.

g. VIOLATION REASON CODE YF.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code YF indicates that the shipping depot has reported that cancellation could not be accomplished on a quantity different than the quantity originally confirmed, but greater than any quantity remaining open (unconfirmed) on the MRO record.

(2) PROCESSING/RESEARCH REQUIRED

(a) Review the ARCSF Printout, appendix F-114, furnished with the violation output to determine the recorded status of the MRO involved.

(b) Contact the shipping depot to determine the exact quantity shipped, the correct Mode of Shipment, Date Shipped and TCN data. In the event of multiple shipments against a single MRO, the quantity, mode, date shipped and TCN data for each is required.

(c) Determine whether the input Shipment Status Transaction, DIC AU0, AUA or AUB or the ARCSF recorded shipment data is in error.

<u>1</u>. In those instances where the input (violation output) contains an error in the quantity field annotate the correct quantity on the violation output for reentry.

<u>2</u>. In those instances when the recorded data is invalid and the rejected input is correct, prepare and process a Corrected Materiel Release Order Transaction, DIC ZHR, appendix E-121 P. Prepare the violation output for reentry without change.

h. VIOLATION REASON CODE ZH.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code ZH indicates that the shipping depot has reported that cancellation could not be accomplished however the input date shipped and TCN data differs from that previously reported and recorded.

(2) PROCESSING/RESEARCH REQUIRED

(a) Review the ARCSF Printout, appendix F-114, furnished with the violation output to determine the RIC of the shipping activity and the recorded shipped date and TCN data on the MRO involved.

(b) Contact the shipping depot to determine the exact date shipped and the correct TCN data.

(c) Determine whether the input Shipment Status Transaction, DIC AU0, AUA or AUB or the ARCSF recorded shipment data is in error.

<u>1</u>. In those instances where the input (violation output) contains errors in the date shipped/TCN data annotate the correct data on the violation output for reentry.

2. In those instances where the recorded data is invalid and the rejected input is correct, prepare and process a Corrected Materiel Release Order Transaction, DIC ZHR, appendix B-121, as outlined in appendix E-121 P. Prepare the violation output for reentry without change.

i. VIOLATION REASON CODE ZK.

(1) REASON FOR VIOLATION/ERROR CONDITION

Violation Reason Code ZK indicates that the shipping depot has reported that cancellation could not be accomplished however the input Part of Embarkation (POE) indicator and TCN data differs from that previously reported and recorded.

(2) PROCESSING/RESEARCH REQUIRED

(a) Review the ARCSF Printout, appendix F-114, furnished with the violation output to determine the recorded status of the MRO involved.

(b) Contact the shipping depot to determine the correct POE and TCN data applicable to the MRO involved.

(c) Determine whether the input Shipment Status Transaction, DIC AU0, AUA or AUB or the ARCSF recorded shipment data is in error.

1. In those instances where the input (violation output) contains errors in the POE and TCN data fields annotate the correct data on the violation output for reentry.

2. In those instances where the recorded data is invalid and the rejected input is correct, prepare and process a Corrected Materiel Release Confirmation Transaction, DIC ZHR, appendix B-121, as outlined in appendix E-121 P. Prepare the violation output for reentry without change.

5. REENTRY INSTRUCTIONS

a. Review remaining data elements contained in violation output to detect any other invalid data and correct as necessary.

b. Reentry method for violation are contingent upon type of violation output. Type of output is an option recorded in the Output Routing Code Table (see appendix F-20). The following codes are the various options with reentry instructions:

CODE	OUTPUT	REENTRY
1	ZLR - Controlled Violation Listing, appendix F-122	Using either Violation Listing, appendix F-122, or Violation/ Suspense Control Reentry Trans- action, appendix B-66, enter corrected data and/or Violation Reentry Code (appendix A-62) with colored pencil or ink and submit as prescribed in appendix E-066 P.

CODE	OUTPUT	REENTRY
3	Uncontrolled Violation, appendix F-117	Reentry, when required, is accomplished as prescribed in appendix E-346 P.

6. FLOWCHART

  Flowchart not required.